



Professional Regulation Statistics for 2019

19 matters were referred to the Conduct Investigations Committee (CIC) in 2019:

- 7 matters were directed to a Conduct Review Committee
- 10 matters were referred to a Hearing Panel to hear charges of “conduct unbecoming a lawyer”
- 1 matter was referred by the CIC to the Professional Standards Committee
- 1 matter was dismissed as requiring “no further action”

In 2019, the Law Society received 564 professional responsibility-related contacts. These contacts can be broken down as follows:

- 1 Request for Ruling from the Ethics Committee by Members;
- 6 reports of unauthorized practice;
- 15 New Solo/Small Firm Practice Reviews
- 149 Complaints filed by the Public, Courts, and/or Members; and
- 176 Informal Ethics Opinions requested by Members;
- 217 contacts remained as potential complaint matters.

Of the 147 Complaints that were filed in 2019:

- 8 were referred to a Practice Advisor or the Professional Standards Committee*;
- 1 was referred to Ethics Committee;
- 14 were referred to Conduct Investigation Committee;
- 82 were dismissed as requiring “no further action” by Professional Responsibility Counsel; and
- 44 remain under investigation.

* The Professional Standards Committee has been renamed as the “Competency Committee” as of January 2020.